

04/14/00



jc803 U.S. PTO

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for nonprovisional applications under 37 CFR § 1.53(b))

Attorney Docket No.

9300439 / 1593.004

First Inventor or Application Identifier

John M. Polo

Title

COMPOSITIONS AND METHODS FOR GENERATING
AN IMMUNE RESPONSE UTILIZING ALPHAVIRUS-
BASED VECTOR SYSTEMS

Express Mail Label No.

EL487463756US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:Box Patent Application
Assistant Commissioner for Patent
Washington, D.C. 202311. ☐ General Authorization Form & Fee Transmittal
(Submit an original and a duplicate for fee processing)2. ☒ Specification [Total Pages] **59**
(preferred arrangement set forth below)

- Descriptive Title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3. ☒ Drawing(s) (35 USC 113) [Total Sheets] **18**4. Oath or Declaration [Total Pages] **1**

- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 CFR 1.63(d)(2) and 1.33(b)

☐ Incorporation By Reference (useable if box 4b is
checked) The entire disclosure of the prior application,
from which a copy of the oath or declaration is supplied
under Box 4b, is considered to be part of the disclosure of
the accompanying application and is hereby incorporated
by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and Amino Acid Sequence Submission
(if applicable, all necessary)

- a. ☐ Computer-Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- 8. ☐ Assignment Papers (cover sheet & document(s))
- 9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
- 10. ☐ English Translation Document (if applicable)
- 11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- 12. ☐ Preliminary Amendment
- 13. ☒ Return Receipt Postcard
- 14. ☐ Small Entity Statement(s) ☐ Statement filed in prior application,
Status still proper and desired
- 15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
- 16. ☒ Other: Certificate of Express Mail

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment

- ☐ Continuation ☐ Divisional ☐ Continuation-In-Part (CIP) of prior Application No.: _____
- ☒ Claims the benefit of Provisional Application Nos. 60/129,498, filed 4/14/99; 60/148,086, filed 8/9/99; and 60/191,363, filed 3/22/00

CORRESPONDENCE ADDRESS

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Respectfully submitted,

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

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For : COMPOSITIONS AND METHODS FOR GENERATING AN
IMMUNE RESPONSE UTILIZING ALPHAVIRUS-BASED
VECTOR SYSTEMS

Docket No. : 930049.489 / 1593.004

Date : April 14, 2000

Box Patent Application
Assistant Commissioner for Patents
Washington, DC 20231

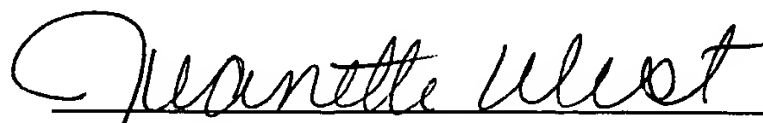
CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Assistant Commissioner for Patents:

I hereby certify that the enclosures listed below are being deposited with the United States Postal Service "EXPRESS MAIL Post Office to Addressee" service under 37 C.F.R. § 1.10, Mailing Label Certificate No. EL487463756US, on April 14, 2000, addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, DC 20231.

Respectfully submitted,

Seed Intellectual Property Law Group PLLC


Jeanette West/Susan Johnson

DDM:nr

Enclosures:

Postcard
Check
Form PTO/SB/05
Specification, Claims, Abstract (59 pages)
18 Sheets of Drawings (Figures 1-12)